

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶ _____
IRC SECTIONS 301 AND 316.

18 Can any resulting loss be recognized? ▶ A LOSS WOULD NOT BE RECOGNIZED AS PART OF THE DISTRIBUTIONS. THE BASIS OF EACH SHAREHOLDER OF RECORD WOULD NEED TO BE ADJUSTED BY THE AMOUNT OF THE DISTRIBUTIONS WHICH WOULD AFFECT REALIZED GAIN OR LOSS RECOGNIZED ON THE DISPOSITION OF FUND SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶ THE FINAL AMOUNTS DETERMINED TO BE RETURN OF CAPITAL SHALL BE REPORTED TO EACH SHAREHOLDER OF RECORD ON THEIR 2013 IRS FORM 1099-DIV.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here
Signature ▶ _____ Date ▶ _____

Print your name ▶ JOHN E. PRICE Title ▶ PRINCIPAL FINANCIAL OFFICER

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no.

Record Date	Box 10 CUSIP	Box 12 Ticker Symbol	Distribution Per Share	Return of Capital Per Share	ROC Percentage
2/14/2013					
Class A	79471L404	SMAPX	0.108553	0.082773	76.2512%
Class C	79471L503	SMFPX	0.101712	0.082773	81.3797%
Class I	79471L602	SMLPX	0.127500	0.082773	64.9200%
4/26/2013					
Class A	79471L404	SMAPX	0.125958	0.084893	67.3976%
Class C	79471L503	SMFPX	0.118666	0.084893	71.5392%
Class I	79471L602	SMLPX	0.130000	0.084893	65.3021%
7/26/2013					
Class A	79471L404	SMAPX	0.129264	0.086894	67.2221%
Class C	79471L503	SMFPX	0.113262	0.086894	76.7194%
Class I	79471L602	SMLPX	0.135000	0.086894	64.3659%
10/28/2013					
Class A	79471L404	SMAPX	0.131003	0.088180	67.3115%
Class C	79471L503	SMFPX	0.112642	0.088180	78.2835%
Class I	79471L602	SMLPX	0.137500	0.088180	64.1309%